

APPENDIX C

Position against the Annual Audit Plan 2007/08 as at 30 November 2007

SBC STRATEGIC AUDIT PLAN 2007/08			
	Complete	In Progress	To commence
Key Financial Systems Audits 2006/07			
Main accounting	√		
Payroll	√		
Creditor payments	√		
Cash & payments	√		
Council tax	√		
Business rates	√		
Benefits	√		
Sundry debtors	√		
Housing Rents (SHL)	√		
Key Financial Systems Audits 2007/08			
Main accounting			√
Payroll			√
Creditor payments			√
Cash & payments		10% complete	
Council tax			√
Business rates			√
Benefits			√
Sundry debtors			√
Housing Rents (SHL)		10% complete	
Horizontal audits (*):			
Building & premises maintenance			√
Car parking (on and off street, lorries)			√
Current contracts		on-going	
Grants to external bodies			√
Information management (RIPA, DP Act, FOI)			√
Land & property management (purchases, sales, estates)			√
Leisure & recreation			√
Procurement		on-going	
Risk management		50% complete	
Training			√
Vertical audits (*):			
Building control			√
CCTV			√
Cemeteries			√
Development control	√		
Environmental Health		10% complete	
Highways			√

Information technology			√
IT systems development and major upgrades		on-going	
Insurance			√
Museum			√
Personnel			√
Renovation grants			
Treasury management			√
Corporate contribution			
Audit advice and consultancy		on-going	
Audit follow up			√
Best value performance indicators (incl interim review)	√		
Contribution to corporate mgmt (incl audit ctte)		on-going	
Non audit duties (appraisals & procurement)		on-going	
Special investigations contingency		on-going	
SHL / HRA Audits:			
Building and Premises Management			√
Current Contracts		on-going	
Housing Management – Voids		50% complete	
Housing Management – Allocations			√
Housing Management - Homelessness		90% complete	
Decent Homes			postponed
Right-to-Buy			postponed
Stores		50% complete	
Corporate contribution			
Audit advice and consultancy		on-going	
Contribution to corporate mgmt (incl audit committee)		on-going	
Special investigations contingency		on-going as required	
Audit follow up			√
Best value performance indicators	√		